# United States Coast Guard Waterways Management



Providing navigation safety information for America's waterways

Jorge Arroyo
Office of Navigation Systems
U.S. Coast Guard
Washington, DC

Towing Safety Advisory Committee Ft. Lauderdale, FL May 7<sup>th</sup>, 2009





**Shipboard AIS** 





































# AIS Rulemaking [Changes in Bold-type]

- √ 10/23/03 current AIS requirement (33 CFR 164.46)
- ✓ 07/01/03-01/09/04 sought AIS expansion comment
- ✓ 10/31/05 notice expansion of AIS to all waters
- ✓ 12/16/08 NPRM ...4/15/09 comment deadline
- Could effect 17,442 vessels / 14,506 small biz's, i.e.
  - Commercial self-propelled vessels of  $\geq$  65 feet
    - No exclusions
  - Towing vessels ≥ 26 feet & >600 hp
  - Vessels with ≥ 50 passengers (vice 150 for hire)
  - · Hi-speed passenger vessels (≥ 12 pax)
  - Certain dredges & floating plants, &
  - Vessel moving certain dangerous cargoes

Estimated Expanded		
AIS Population		
Ships <a>_65ft</a>	2,973	
Freight Ship	298	
Industrial Ship	748	
MODU	210	
OSV	553	
Research Vessel	97	
School Ship	19	
Tank Ship	122	
Unclassified	385	
Unknown	541	
Fishing >65ft	5,520	
Documented	4,571	
Undocumented (est.)	949	
<b>Towing</b> ≥26ft & ≥600hp	4,560	
<u> </u>	ŕ	
Passenger	3,235	
<u>&gt;</u> 65ft	2,167	
<65' but <u>&gt;</u> 50 pax	1,062	
>30kts & >12 pax for hire	6	
Dredges	35	
Total (U.S.)	16,323	
Foreign Flag ≥65ft	1,119	
Total (All)	17,442	





Spells out 'effective operating conditions' which now includes the:

- ability to reinitialize the AIS
- ability to access AIS from conning position
- accurate broadcast of an official MMSI
- accurate input, upkeep, and updating





- AIS (& assoc. sensors) shall remain on when:
  - underway
  - -at anchor
  - -moored in or near a channel or fairway
- Except if it compromises safety or security
  - Non-transmitting must be logged & reported





- · AIS is primarily for the person controlling the vessel, who must maintain a periodic watch
- AIS does not relieve you of sound, lights or shapes nor radiotelephone requirements
- AIS messaging must be in English & solely for navigation safety information
- AIS Pilot Plug requirement extended to any vessel subject to pilotage & limits the distance between it & an AC outlet to no more than 3'





Applies to all navigable waters, no exemptions.

Individual yearly deviations/waivers permissible, but, only for vessels:

- that solely operate within a very confined area e.g. shipyard, fleeting area, etc.
- on short & fixed schedules
   e.g. a bank-to-bank river ferry service
- otherwise not likely to encounter other AIS





CG type-approved Class B are permissible, but, not recommended on vessels that are:

- highly maneuverable
- navigate at high speed
- routinely operate in congested waters, or
- operate in close-quarter situations

Should cautionary note be mandatory provision?





### **AIS Comment Period...**

- Public Meetings
  - -Washington, DC March 5<sup>th</sup>, 2009
    - · 30+ attendees, 11 commenters
  - -Seattle, WA March 25<sup>th</sup>, 2009
    - · 30+ attendees, 12 commenters
- Comment period closed: April 15<sup>th</sup>, 2009
- Public Submissions
  - -80+ submitters, 70+ regarding AIS





### Some AIS Public Comments or Concerns

- Undue economic burden
- Not capturing total economic impact—ECS added
- Don't need it...I've never collided...I have VMS
- Not here...not needed...exempt my waterway
- Will not help security...all vessel needed
- Exempt tows >1200hp, assist towers, pax >150
- Waivers indefinite or >1 year





### Some AIS Public Comments or Concerns

- Continuous operation on unmanned moored vessel
- Carriage on floating plants and/or other vessel that lack onboard power
- AIS Class B yes...on hi-speed vessels no
- AIS conning information from display-less Class B
- Would attract vessels and/or disclose fish areas
- Extend implementation period >7months





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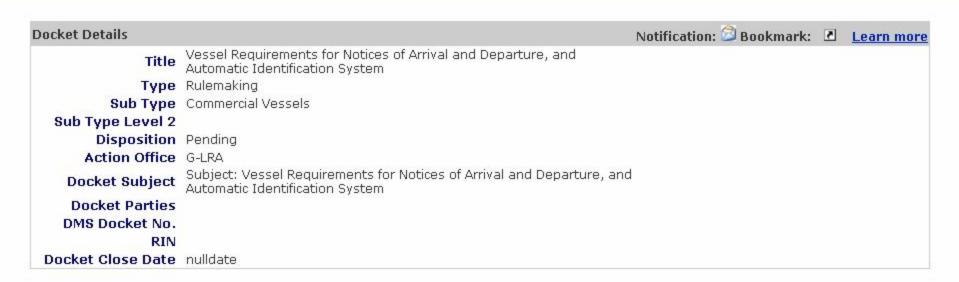
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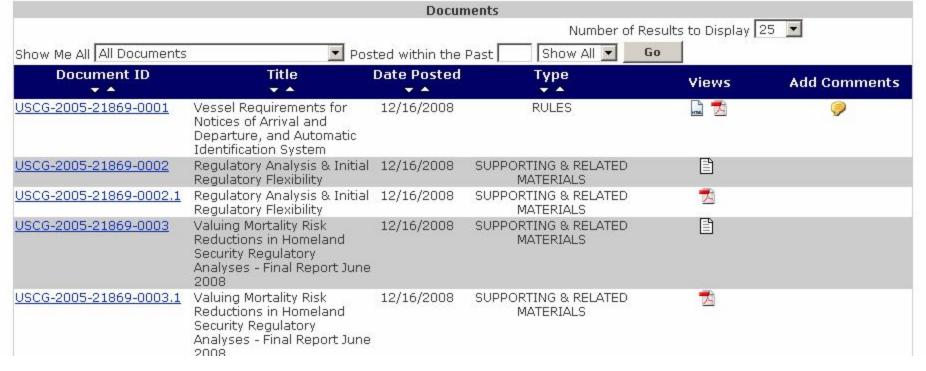
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#### NAVIGATION CENTER

The Navigation Center of Excellence

#### U.S. Department of Homeland Security UNITED STATES COAST GUARD



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#### Automatic ID System (AIS)

- AIS Overview What is AIS?
- How AIS Works
- What AIS Broadcasts
- Types of AIS
- AIS Messages...
  - "A" Position Report
  - "A" Static
  - "B" Position Report
- AIS Certification
- Carriage Requirements
- AIS References
- AIS Notices
- Frequently Asked Questions
- Ask a Question or Report an AIS Problem

#### Primary Mission Areas:

- Global Positioning System
- Differential GPS
- Nationwide DGPS
- LORAN C
- Inland River Vessel Movement Center
- Long Range Identification and Tracking
- Civil GPS Service Interface Committee
- Automatic Identification System
- Electronic Navigation & Charting
- Maritime Telecommunications

#### Maritime Information:

#### FREQUENTLY ASKED QUESTIONS

- 1 What is the AIS?
- What is the AIS rule?
- Are there alternatives to the AIS rule for small businesses?
- 4. How much does an AIS cost?
- 5. How does AIS help to increase security?
- 6. When must AIS be in operation?
- Does the installation of the AIS require additional equipment in order for the AIS to operate properly?
- 8. Will it be necessary to have electronic navigational charts for use with the AIS?
- If a fishing vessel has a Vessel Monitoring System (VMS), is that an acceptable substitute for the AIS?
- 10. Why have some AIS units stopped broadcasting valid position reports?
- 11. Why am I unable to see an AIS vessels' name or other static information (dimensions, call sign, etc.)?
- Why do I sometimes see more than one vessel with the same MMSI or vessel name (i.e. NAUT)?
- 13. I just purchased and installed an AIS Class B, will AIS Class A user 'see' me?
- 14. Do AIS Class B devices meet current USCG AIS carriage requirements?
- 15. Is the USCG considering expanding AIS carriage to other vessels or outside of VTS areas?
- 1. What is the AIS? Per 47 CFR §80.5 AIS is a maritime navigation safety communications system standardized by the International Telecommunication Union (ITU) and adopted by the International Maritime Organization (IMO) that provides vessel information, including the vessel's identity, type, position, course, speed, navigational status and other safety-related information automatically to appropriately equipped shore stations, other ships, and aircraft; receives automatically such information from similarly fitted ships; monitors and tracks ships; and exchanges data with shore-based facilities. Read more on what it is, how it works, what it broadcasts, and, the messages it uses, etc.
- What is the AIS rule? The U.S. Coast Guard has developed rules applicable to both U.S. and foreign-flag vessels that require owners and operators of most commercial vessels to install and use the AIS. The AIS rule is part of our domestic and international effort to increase the security and safety of maritime transportation. See 33 CFR parts 26, 161, 164, and 165. Current AlS regulations, 33 CFR §164.46, became effective on November 21, 2003, and, require that all vessels denoted 33 CFR § 164.46(a) be outfitted with an USCG "type-approved" and "properly installed" AlS no later than December 31, 2004. Read more.
- 3. Are there alternatives to the AIS rule for small businesses? No, there are no special provisions or alternatives in the AIS rules for small businesses. See Small Entity Compliance Guide to AIS.





# AIS Rulemaking [Changes in Bold-type]

- √ 10/23/03, current AIS requirement published (33 CFR 164.46)
- ✓ 07/01/03-01/09/04, 3 meetings & comment period re: AIS expansion
- √ 10/31/05, agenda entry re: expansion of AIS to all navigable waters
- √ 12/16/08, NPRM published; 04/15/09, comment deadline (73 FR 78295)
- Proposed compliance date: NLT 7 month after Final Rule
- AIS prices: Class A, \$2,800-5,000; Class B, \$700-1,500
  - Installation cost will vary by display options & interfacing
  - · SOLAS requires interfacing to GPS, THD, ROT, back-up power
- Potentially could effect 17,442 vessels/14,506 small biz's, i.e.
  - Commercial self-propelled vessels of  $\geq$  65 feet
    - No exclusions
  - Towing vessels ≥ 26 feet and > 600 hp
  - Vessels with ≥ 50 passengers (vice 150 for hire)
  - Hi-Speed vessels with ≥ 12 passengers for hire
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- ability to reinitialize the AIS (i.e. password),
- ability to access AIS from conning position,
- accurate broadcast of an official MMSI,
- accurate input, upkeep, and updating





Spells out that 'effective operating conditions' includes:

- · AIS always on (& its associated devices) when
  - underway
  - -at anchor, or
  - -moored in or near a channel or fairway;

except when use would compromise safety or security

—which must be logged & reported to the USCG.





- AIS is primarily for use of the person controlling the vessel, who must maintain a periodic AIS watch.
- AIS does not relieve one of duties regarding sound, lights or shapes nor radiotelephone requirements.
- AIS messaging must be in English & solely for navigation safety information.
- AIS Pilot Port/Plug requirement extended to any vessel subject to pilotage & limits the distance between it & an AC outlet to no more than 3 feet.





Applies to all U.S. Navigable Waters, no exemptions. Individual yearly deviations/waivers permissible, but, only for vessels:

- solely operate within a very confined area
   e.g. shipyard, fleeting area, etc.
- on short & fixed schedules
   e.g. a bank-to-bank river ferry service
- otherwise not likely to encounter other AIS users





Use of CG type-approved Class B is permissible, however, not recommended on vessels that are:

- highly maneuverable
- navigate at high speed
- routinely operate in congested waterways, or
- operate in close-quarter situations

Should this recommendation be mandatory?





AIS Class A & B Comparison		
Transmit Power	2w	12.5w / 2w (low-power)
Reporting Rate	2 - 10 sec - speed and/or course dependent	30 sec. fixed
Communication Protocol	SO-TDMA Self-Organizing amongst Class A's	CS-TDMA Carrier-Sense(s), polite to Class A's
Frequency Range & Bandwidth	156.025 -162.025 MHz @ 12/25 kHz DSC Required	161.500 - 162.025 MHz @ 25 kHz DSC & 12.5 kHz Optional
Position Source	External GNSS & Internal GPS	Internal GPS
Digital Interfaces	2 Input-Output Ports & Multiple Outputs	Optional
Display	Multiple Keyboard Display (MKD)	Optional
Safety Text Messaging	Receive & Transmit	Transmit Optional & Pre-configured
Data	All	No Rate of Turn, Navigation Status, Destination, ETA, Draft, IMO#
CG Type-Approvals	22 Models - 16 Manufacturers	8 Models - 8 Manufacturers
Approximate Cost	\$2,800 - 4,000	\$700 - 1,500





# Other USCG AIS on goings...

International Standards (completed or in final stages)

- IEC 62288 Nav Information on Shipboard Displays
- IEC 62388 Radar
- IEC 62376 ECDIS
- IEC 62287 AIS Class B
- IEC 62320 AIS Aid to Navigation (ATON)
- IEC 61097 AIS Search & Rescue Transmitter (SART)
  - In Final Stage, we expect availability later this year
- IEC 61993-2 AIS Class A, 2<sup>nd</sup> Generation AIS...in development...2010

VTS AIS Binaries Project (Receive & Transmission of AIS from ashore)

- ACOE Real-Time Current Velocity (RTCV) 10 sites in the works
- Tampa Bay (NOAA PORTS)
- Stellwagen Bank (Right Whale Notifications)

#### Nation-wide AIS Project (NAIS)

- Increment 1 Completed Oct'07
- Increment 2 Awarded Dec'08, IOC '11, FOC '14
- Increment 3 Satellite reception tests in progress





#### Recommended AIS target symbols

AIS target	Symbol number	Symbol	Description of symbol
AIS target (sleeping)	1	1	An isosceles, acute-angled triangle should be used with its centroid representing the target's reference position. The most acute apex of the triangle should be aligned with the heading of the target, or with its COG¹, if heading information is not available. The symbol of the sleeping target may be smaller than that of the activated target.
Activated AIS target	2A		An isosceles, acute-angled triangle should be used with its centroid representing the target's reference position. The most acute apex of the triangle should be aligned with the heading of the target, or with its COG¹, if heading information is not available.
		<	The COG/SOG <sup>1</sup> vector should be displayed as a dashed line starting at the centroid of the triangle.
	2B	<u>/</u> ^	The heading should be displayed as a solid line of fixed length starting at the apex of the triangle.
		A.	A flag on the heading indicates a turn and its direction in order to detect a target manoeuvre without delay.
	2C	1	A path predictor may also be provided.
Selected target	3		A square indicated by its corners should be drawn around the target symbol.
Dangerous target	4	1	A bold line clearly distinguishable from the standard lines should be used to draw the symbol. The size of the symbol may be increased. The target should be displayed with; vector, heading and rate of turn indication. The symbol should flash until acknowledged. The triangle should be red on colour displays.
Lost target	5	₩	A prominent solid line across the symbol, perpendicular (for example at right angles) to the last orientation of the symbol should be used. The symbol should flash until acknowledged. The target should be displayed without vector, heading and rate of turn indication.

	Symbol Name	and Description	Symbol Graphic(s)
2.11	AIS Search and Rescue Transponder An AIS Search And Rescue Transponder (SART) shall be presented as a circle with an "X" inscribed inside it. The circle shall be 5 millimetres in diameter. The symbol shall be drawn using a thick dashed line style with the colour red.		
			$\otimes$
	The symbol sh the user.	all flash until acknowledged by	
	Once acknowled flashing.	edged, the symbol shall cease	
	NOTE: A radar scans for X-ba SART detects signal, consist pulses, on the are displayed a originating at t spaced 0.6 nat line of bearing screen. (See II	Virtual AIS ATON:	
	,		<+>
			<u> </u>
		Real AIS ATON:	
		NOTE: Examples showi	ng the default symbol for a buoy.
		+	
		NOTE: Examples showi platform.	ng the symbol for an offshore





# Other USCG AIS on goings...

International Standards (completed or in final stages)

- IEC 62288 Nav Information on Shipboard Displays
- IEC 62388 Radar
- IEC 62376 ECDIS
- IEC 62287 AIS Class B
- IEC 62320 AIS Aid to Navigation (ATON)
- IEC 61097 AIS Search & Rescue Transmitter (SART)
  - In Final Stage, we expect availability later this year
- IEC 61993-2 AIS Class A, 2<sup>nd</sup> Generation AIS...in development...2010

VTS AIS Binaries Project (Receive & Transmission of AIS from ashore)

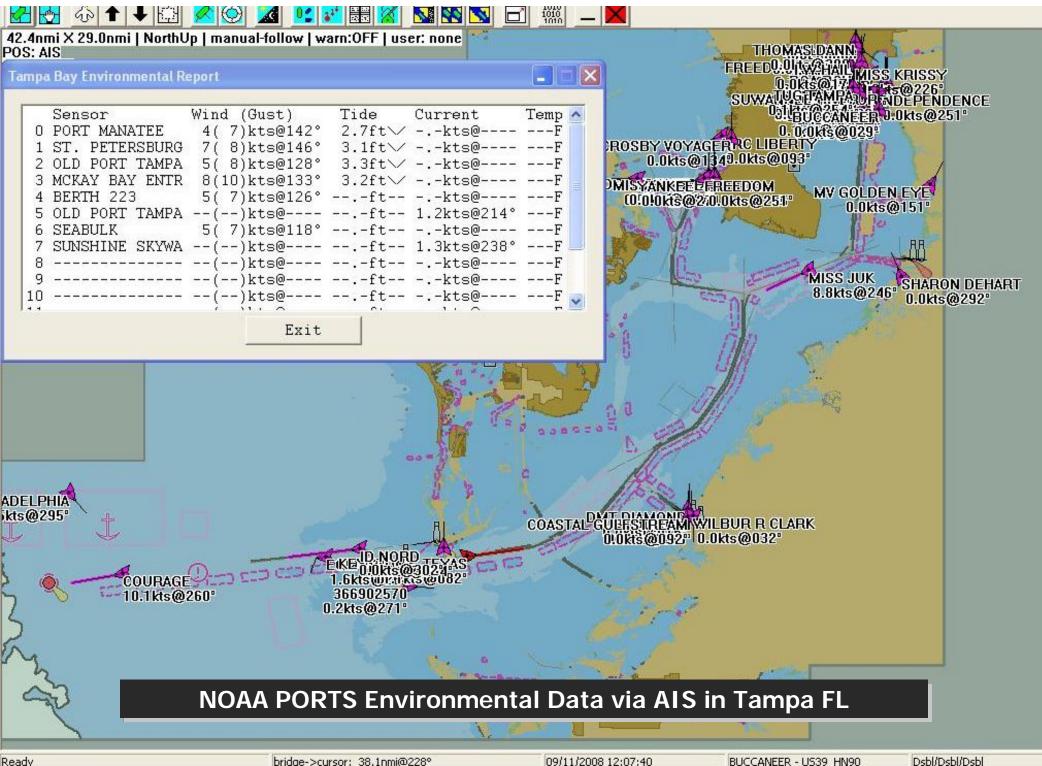
- ACOE Real-Time Current Velocity (RTCV) 10 sites in the works
- Tampa Bay (NOAA PORTS)
- Stellwagen Bank (Right Whale Notifications)

#### Nation-wide AIS Project (NAIS)

- Increment 1 Completed Oct'07
- Increment 2 Awarded Dec'08, IOC '11, FOC '14
- Increment 3 Satellite reception tests in progress







bridge->cursor: 38.1nmi@228° 09/11/2008 12:07:40 BUCCANEER - US39 HN90 Dsbl/Dsbl/Dsbl

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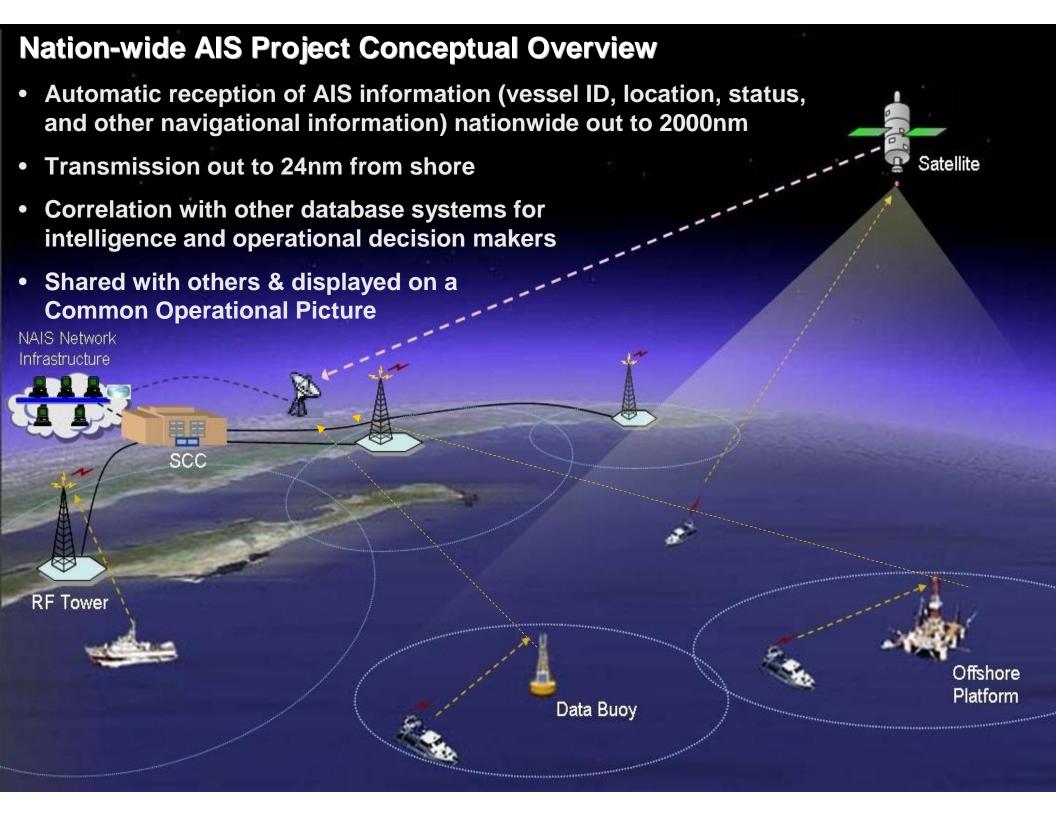
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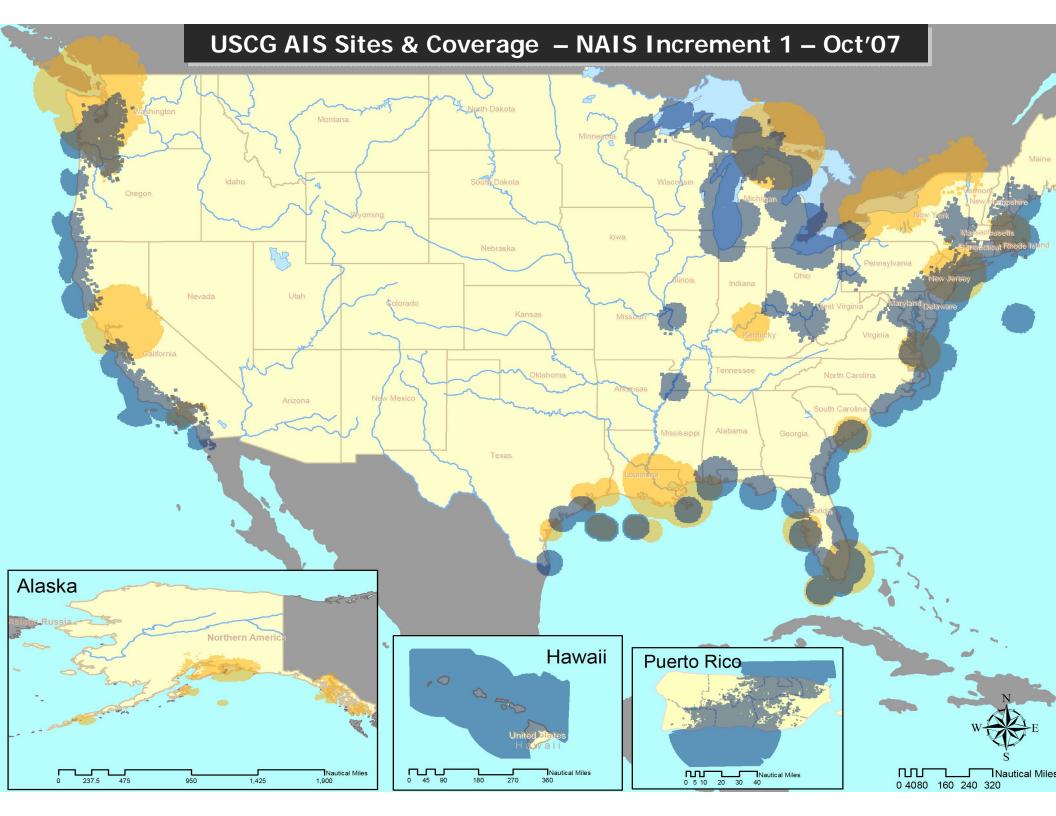
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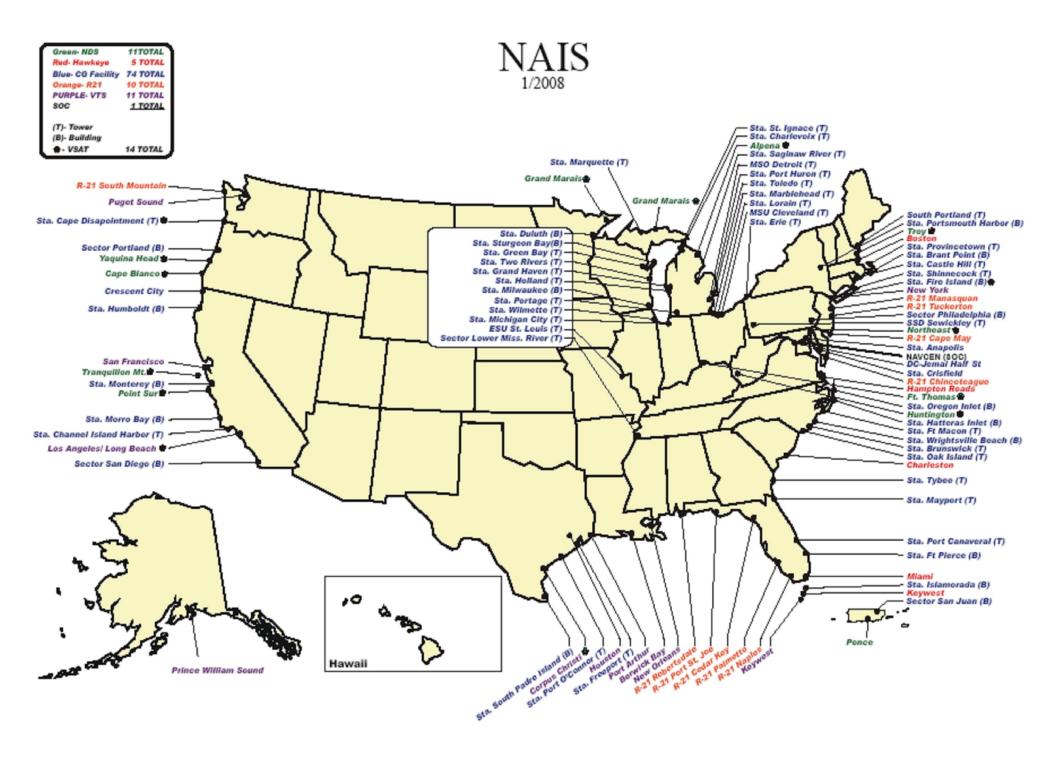
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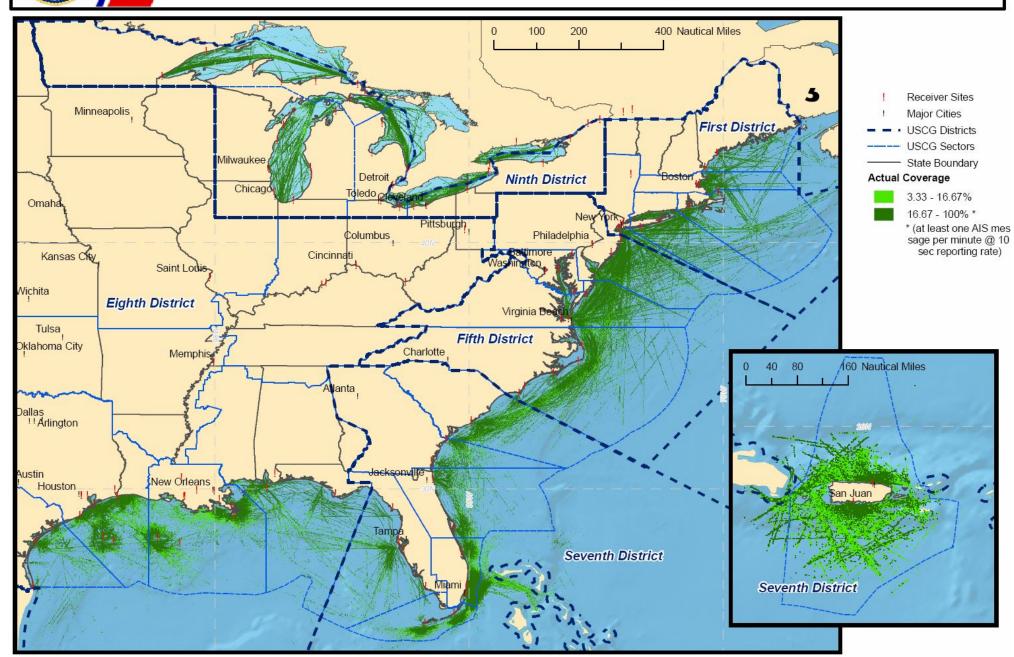






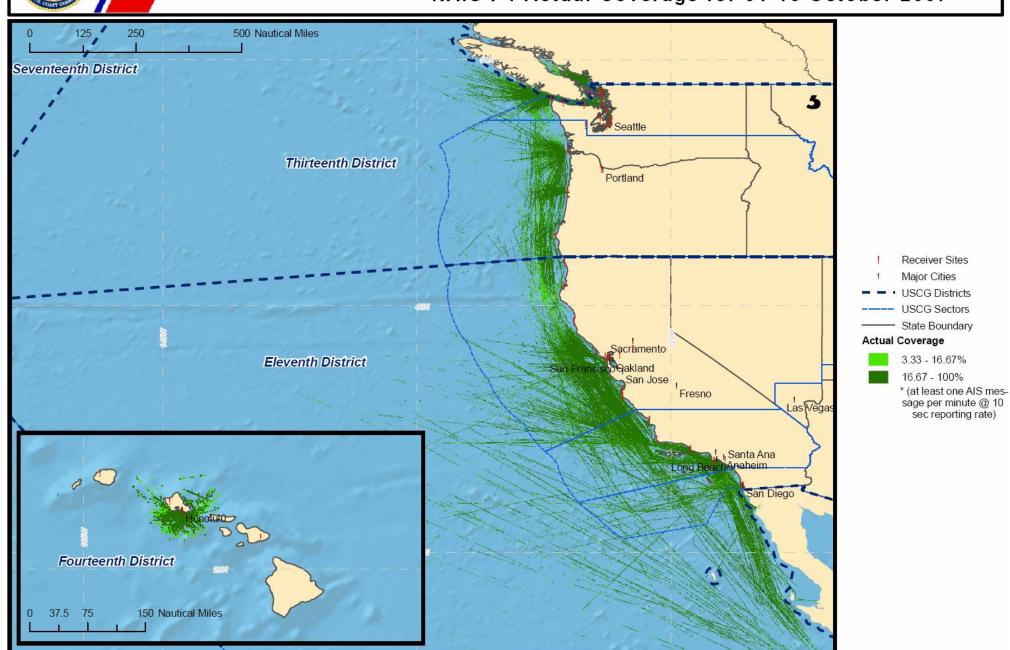
#### EAST COAST AND PUERTO RICO

NAIS I-1 Actual Coverage for 01-16 October 2007



### WEST COAST AND HAWAII

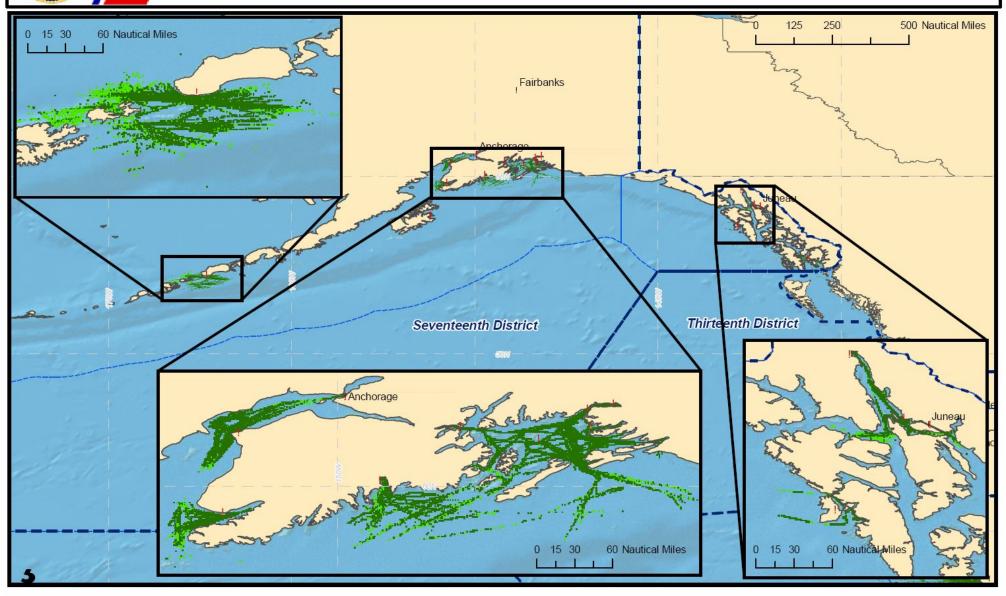
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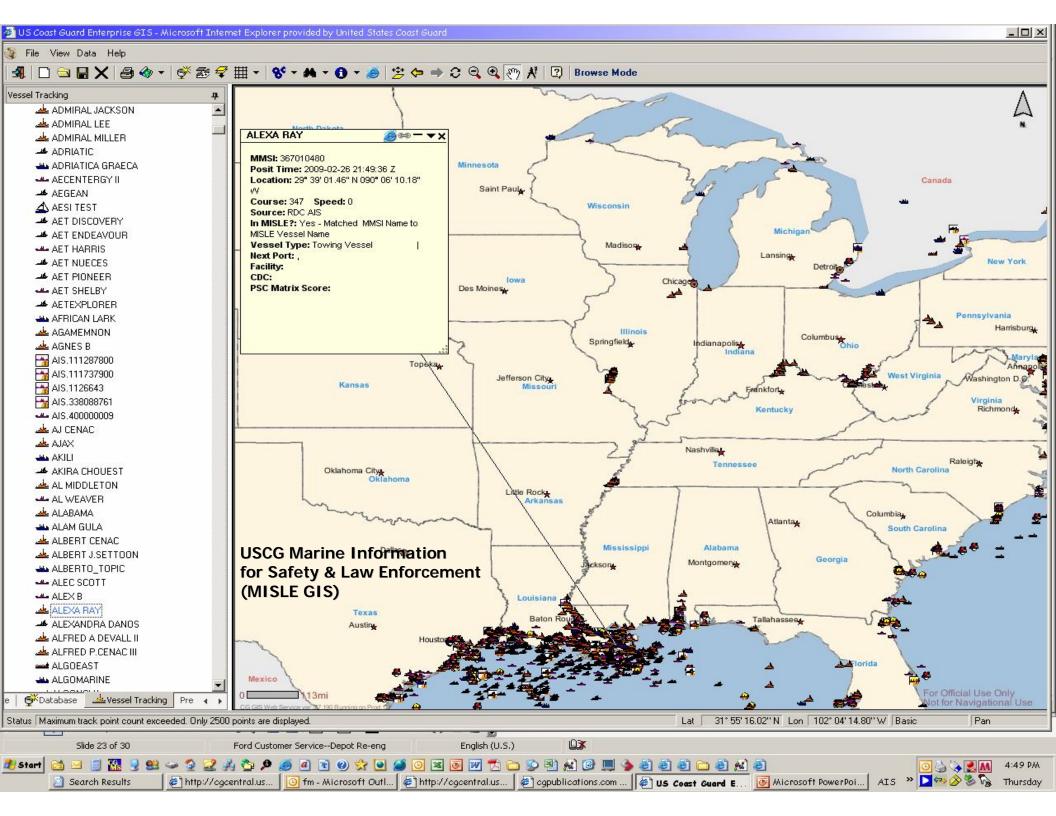


### ALASKA

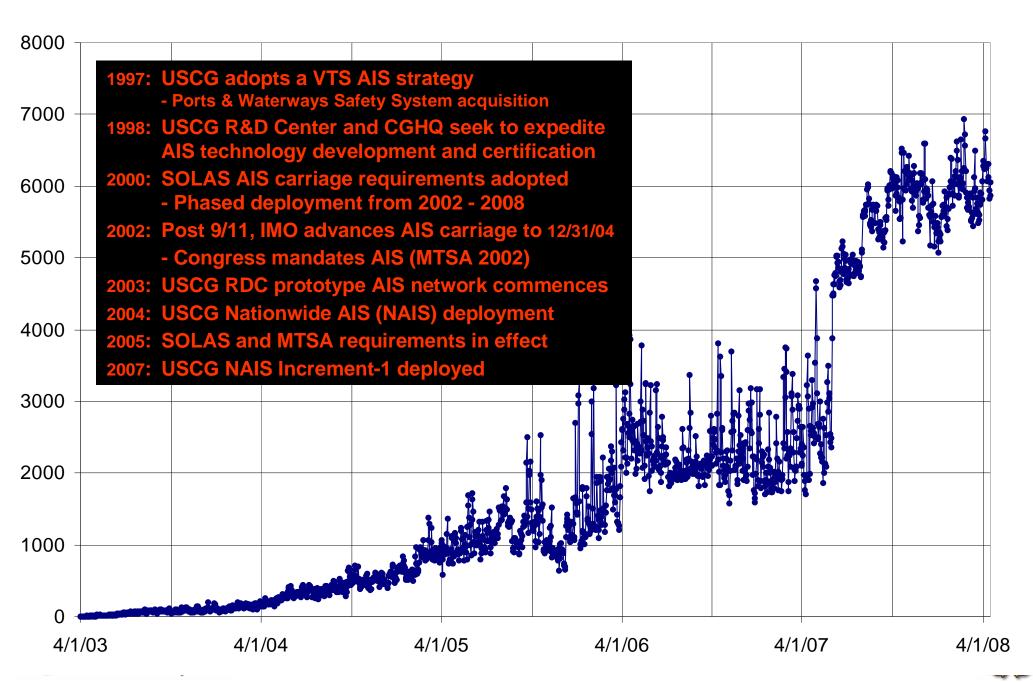
NAIS I-1 Actual Coverage for 01-16 October 2007

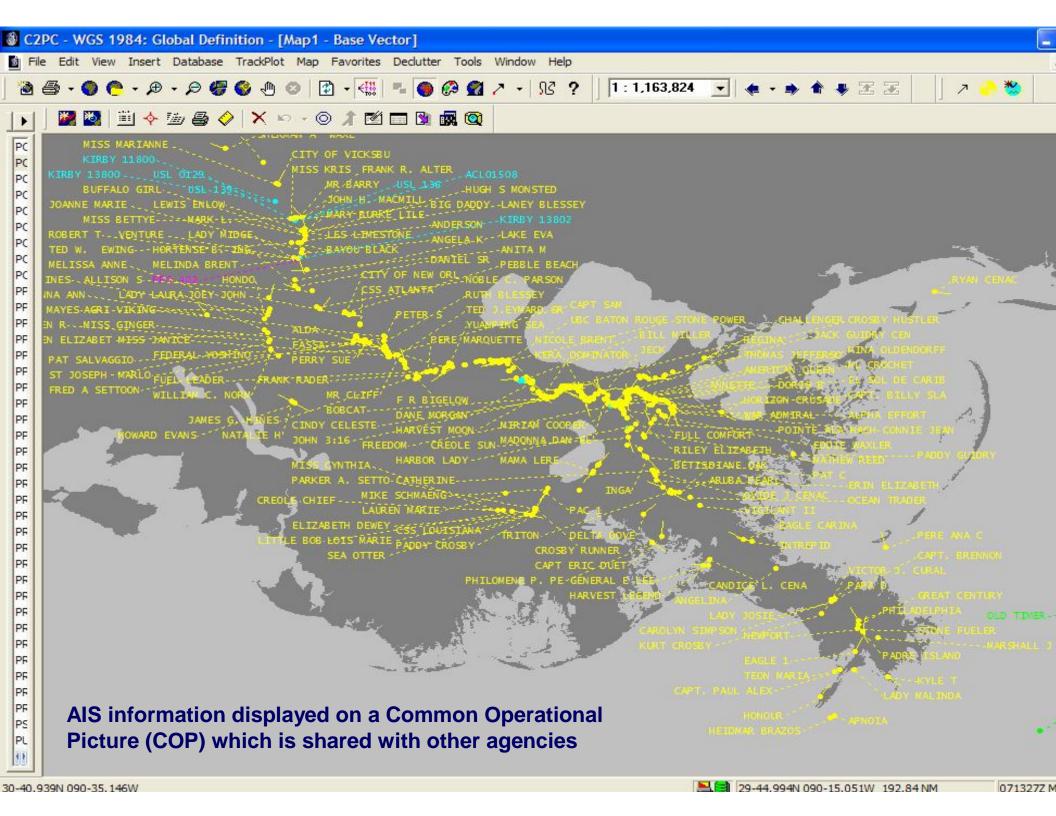






### AIS units logged/tracked each day by USCG network





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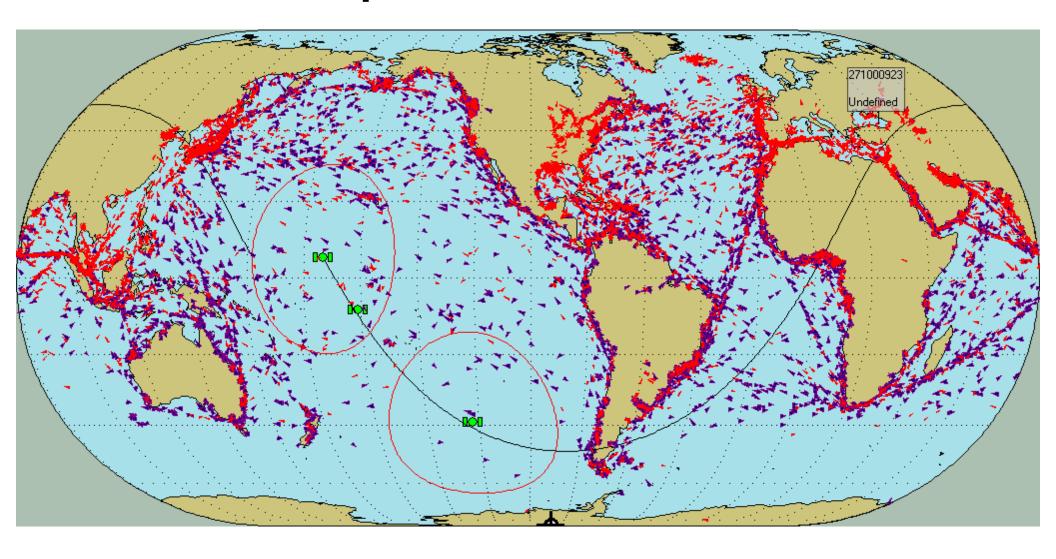
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# **Satellite Reception of AIS**



05:22:38 NOV 08 UTC / Vessel count: 11703





# Current AIS Prices



\$699 - 3,999





#### Milltech Marine Online Store



#### ACR Nauticast2 Class A AIS Transponder

The ACR Nauticast2 AIS Transponder is a class A unit that is specifically designed to fulfill non-SOLAS carriage requirements. This product is packaged in an All-in-One kit that includes the AIS transponder, VHF & GPS antennas, data cables, and pivot mounti kit. An ECDIS port adapter is included which can directly interface with your ECDIS display or marine navigation package. The syster can be ordered for use with 12 or 24 volt DC power.

ACR-2609 \$2,999.00

Add to Cart









### **SOLAS/ECDIS/ECS rules...**

- SOLAS Chp. V changes took effect 2000
- IMO HSC mandates ECDIS
- IMO to mandate ECDIS on others by 2012
- CG&MT Act of 2004 mandates ECS in US
- U.S. ECS rulemaking in development
  - -Will become part of SOLAS rulemaking





# United States Coast Guard Waterway Management

Questions —

Thank You

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